

VALIDATION OF ASSISTED AND AUTOMATED DRIVING SYSTEMS

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aufgrund eines Beschlusses
des Deutschen Bundestages

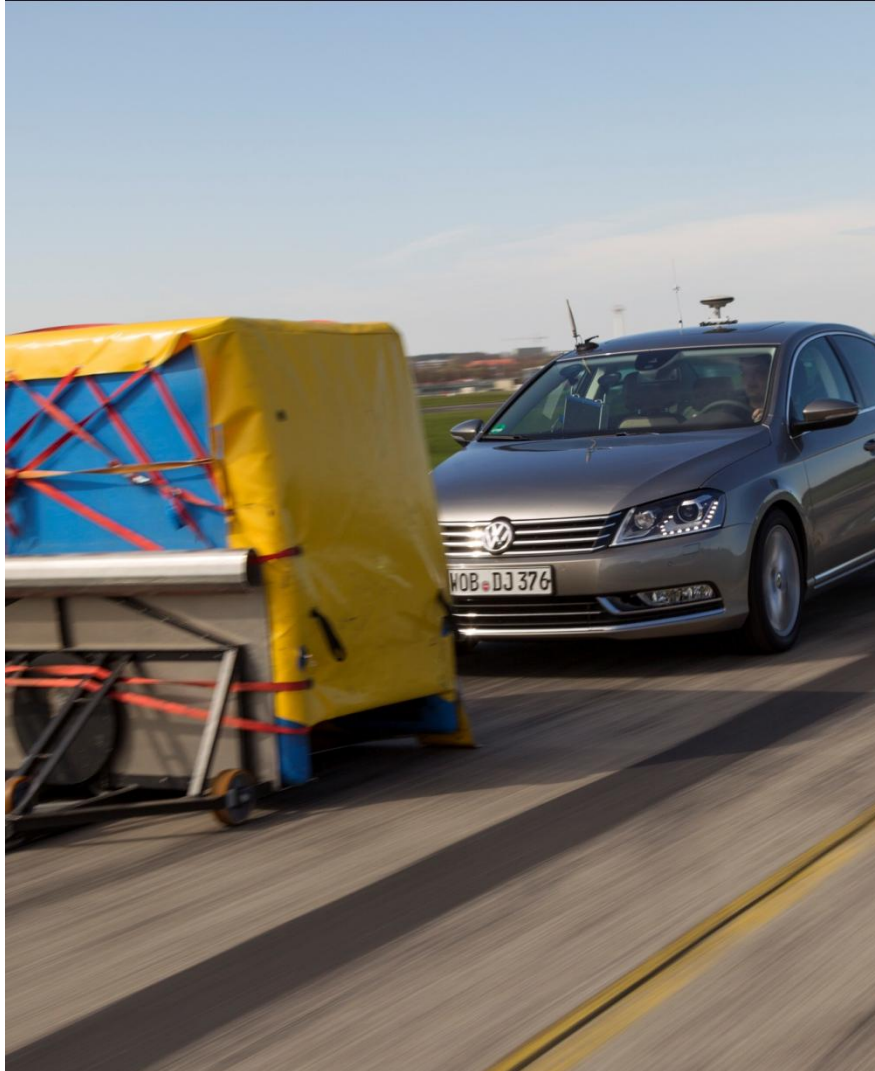
In 1901 Daimler launched the first modern car with the *Mercedes 35 HP*.

At the same time Gottlieb Daimler said: „The worldwide demand for automobiles will not exceed one million if only due to the lack of chauffeurs.“



Picture credits (if not otherwise denoted): BMW, Daimler, DLR, TÜV SÜD

It is time now, to solve this obviously very old problem by rollout of systems for highly automated driving. But do we already know, how to validate those systems?



1 Introduction

2 Initial situation

3 General approach

4 Final remarks

VDA roadmap for introduction of assistance and automation



	Driver is always in the loop and monitors environment.			System monitors environment, driver is (temporarily) out of the loop.		
n.a.						Robot taxi
Automation 2 nd gen.				Highway pilot	Parking garage pilot	
Automation 1 st gen.				Highway congestion pilot		
New DAS		Eco ACC, Work site assistant	Congestion assistant, Park assist.			
Established DAS	LCA, PDC, LDW, FCW	ACC, S&G, PSA, LKA				
	Driver only (0)	Assisted (1)	Partially automated (2)	Highly automated (3)	Fully automated (4)	Driverless (5)

LCA: Lane Change Assistant

LDW: Lane Departure Warning

ACC: Adaptive Cruise Control

PSA: Park Steering Assistant

PDC: Park Distance Control

FCW: Forward Collision Warning

S&G: ACC incl. Stop & Go

LKA: Lane Keeping Assistant

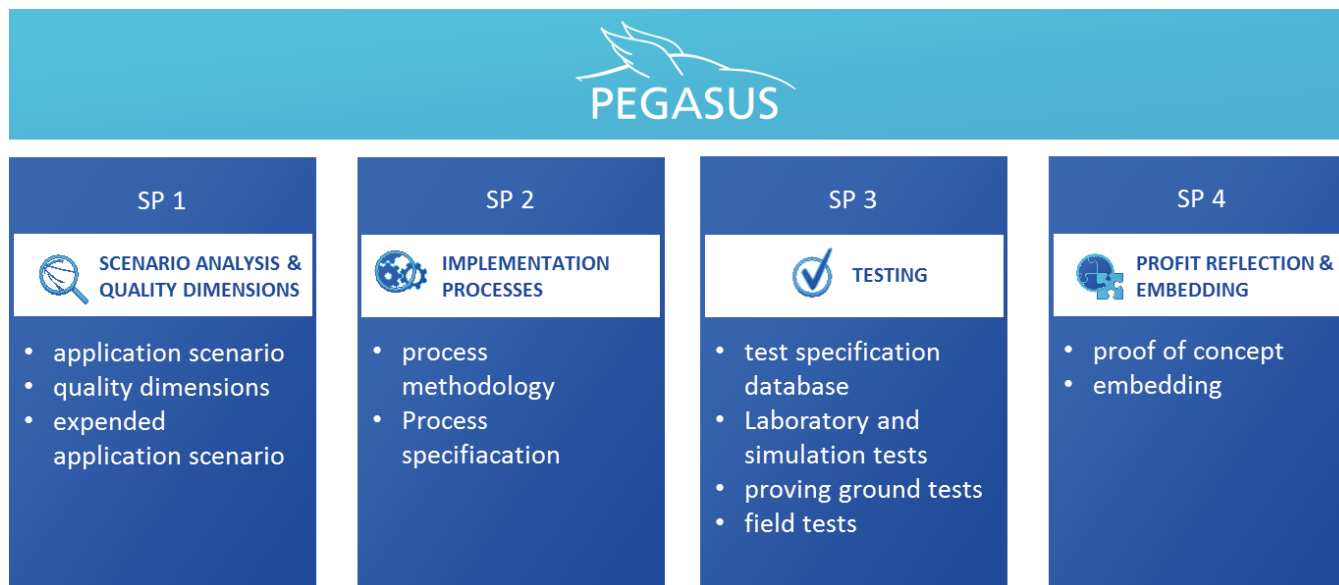


- What criteria have systems for highly automated driving to fulfil?
- What is necessary in order to assure, that systems fulfil those criteria, actually?

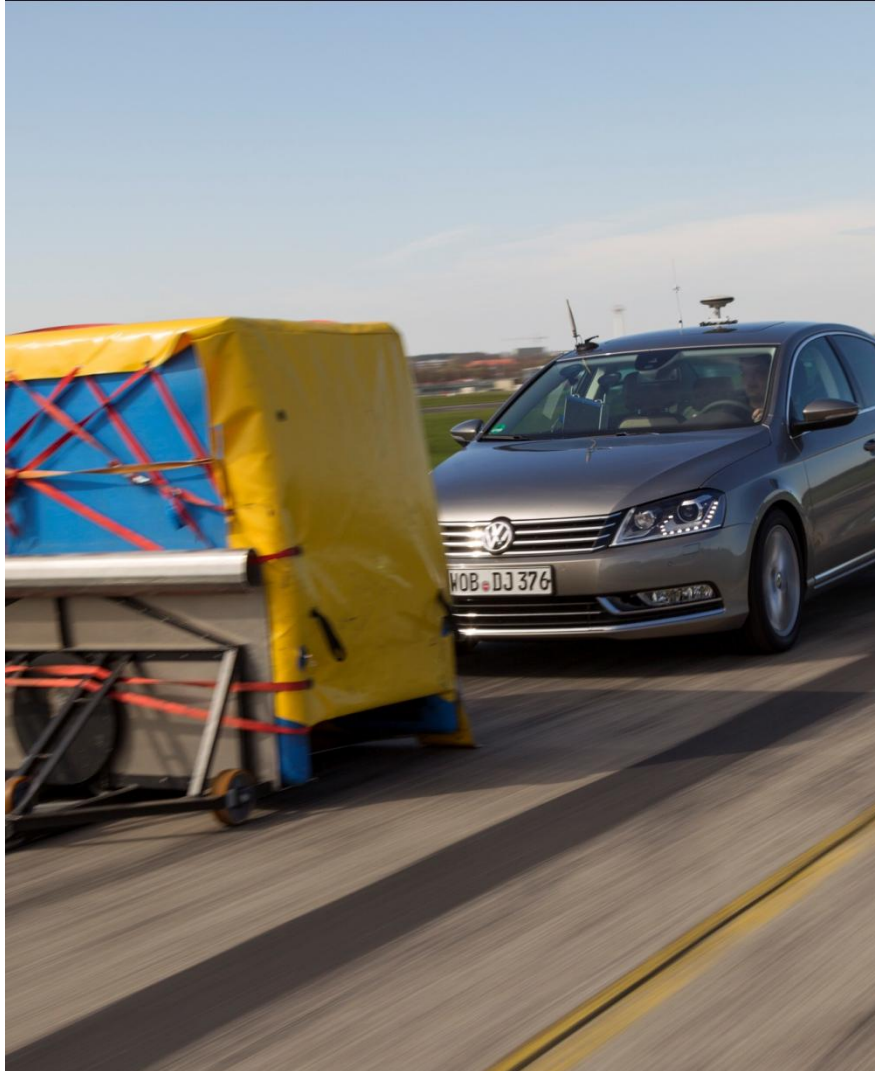
What is PEGASUS?



- **P**roject for **e**stablishing **g**enerally **a**ccepted quality criteria, tools and methods as well as **s**cenarios and (in German: **u**nd) **s**ituations for the release of highly automated driving functions
- Founded by Federal Ministry for Economic Affairs and Energy (BMWi)
- PEGASUS will close gaps in the area of testing and approval of automated vehicles with the aim to transfer existing highly automated vehicle-prototypes into products
- PEGASUS provides corresponding results and standards for product development and release



Duration	January 2016 – June 2019
Partners	<i>OEM:</i> Audi, BMW, Daimler, Opel, Volkswagen <i>Tier 1:</i> Automotive Distance Control, Bosch, Continental <i>Test Lab:</i> TÜV SÜD <i>SMB:</i> fka, iMAR, IPG, QTronic, TraceTronic, VIRES <i>Scientific instituts:</i> DLR, TU Darmstadt
Subcontractors	IFR, ika, OFFIS, BFFT, Carmeq, EFS, Fortiss, MBTech, Nordsys, Philosys, VSI, WIVW
Volume	total 34.5 Mio. EUR, supported volume 16.3 Mio. EUR
Manpower	150 man years



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- Assessment and validation of *passive safety* based on a practicable number of crash tests under well defined worst case conditions is well established and widely accepted



- In contrast testing of *active safety* systems is limited by
 - huge number of relevant scenarios and environmental conditions
 - complexity of systems and variability of driver behaviour
 - methodological aspects (functional deficiencies)

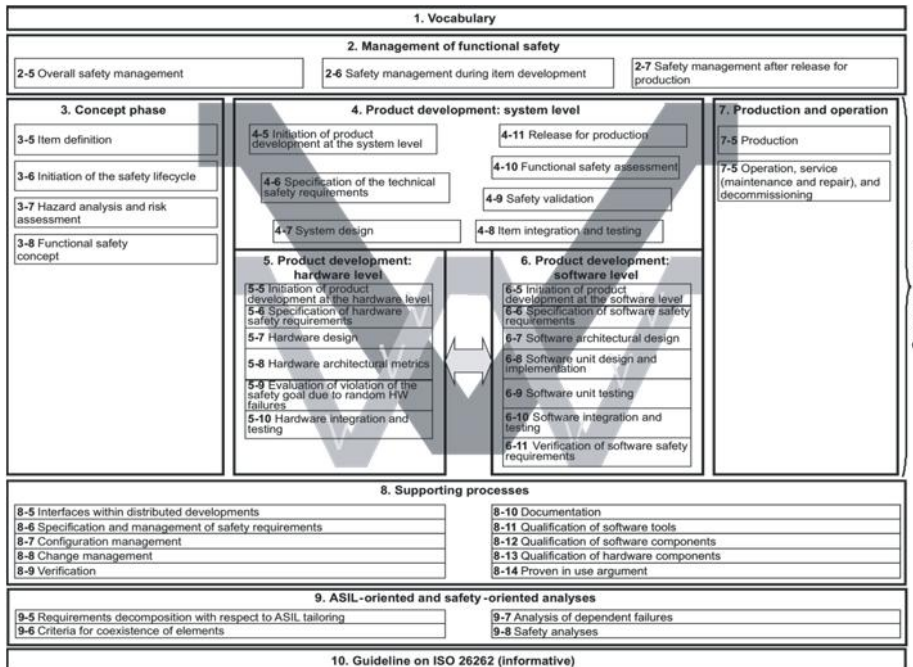
- EuroNCAP, e.g., has a road map for assessment of active safety systems



- Tests are useful for comparison of systems from customer protection's point of view (no driver intervention considered)
- They are only limited applicable for system development and validation because they do not represent real scenarios, environments and driver behaviour

- Systems for highly automated driving have to fulfil very high functional safety requirements, e.g. random hardware failure rates $< 10^{-8} / \text{h}$ for ASIL D
- Besides before mentioned methodological limitations it is not possible
 - to prove those failure rates by conventional road tests with reasonable effort and
 - to prove completeness of tests considering very rare events in general

ISO 26262 ASIL Determination		Exposure	Controllability		
			C1	C2	C3
Severity	S1	E1	QM	QM	QM
		E2	QM	QM	QM
		E3	QM	QM	A
		E4	QM	A	B
	S2	E1	QM	QM	QM
		E2	QM	QM	A
		E3	QM	QM	B
		E4	A	B	C
	S3	E1	QM	QM	A
		E2	QM	A	B
		E3	A	B	C
		E4	B	C	D



- Product safety confirmation based on ISO 26262 for functional safety of E/E systems in road vehicles
- Applicable for DAS in general and sufficient for established systems

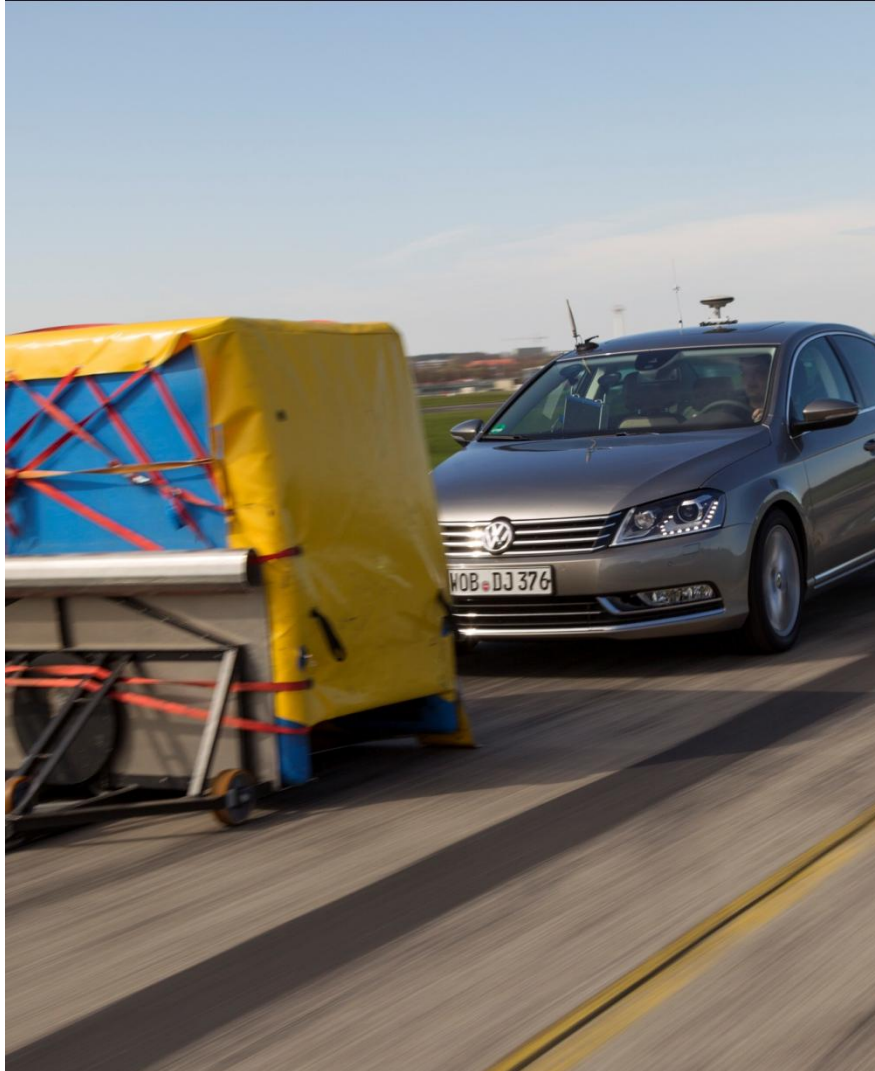
- Limitations: ISO 26262 doesn't cover functional disabilities, e.g. misinterpretation of objects / traffic situations and resulting false positive system interventions

With increasing level of automation, upgrade of functional safety standard seems to be necessary → ISO 26262 is under revision

- European type approval for passenger cars, e.g., based on 2007/46/EC and ECE-Regulations 13 & 79 with so called electronic annexes
- Requirement: No influence of E/E systems on mechanical braking and steering functions
- Not focused on DAS, but sufficient as long as systems are fully controlled by driver in every situation according to 1968 Vienna Convention on Road Traffic (VC 68)



With increasing level of automation, we will reach a point, where those regulations are not longer sufficient → ECE-R13 & 79 are under revision



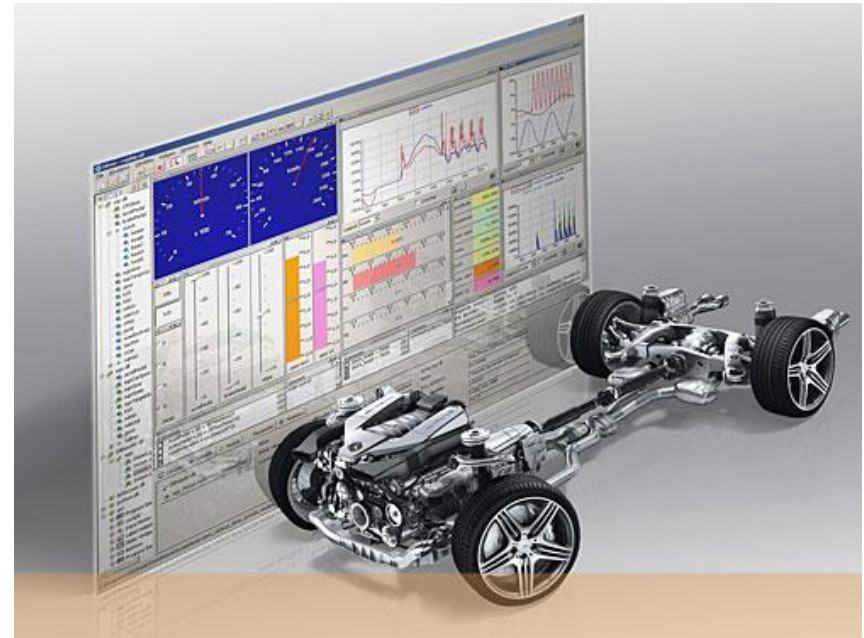
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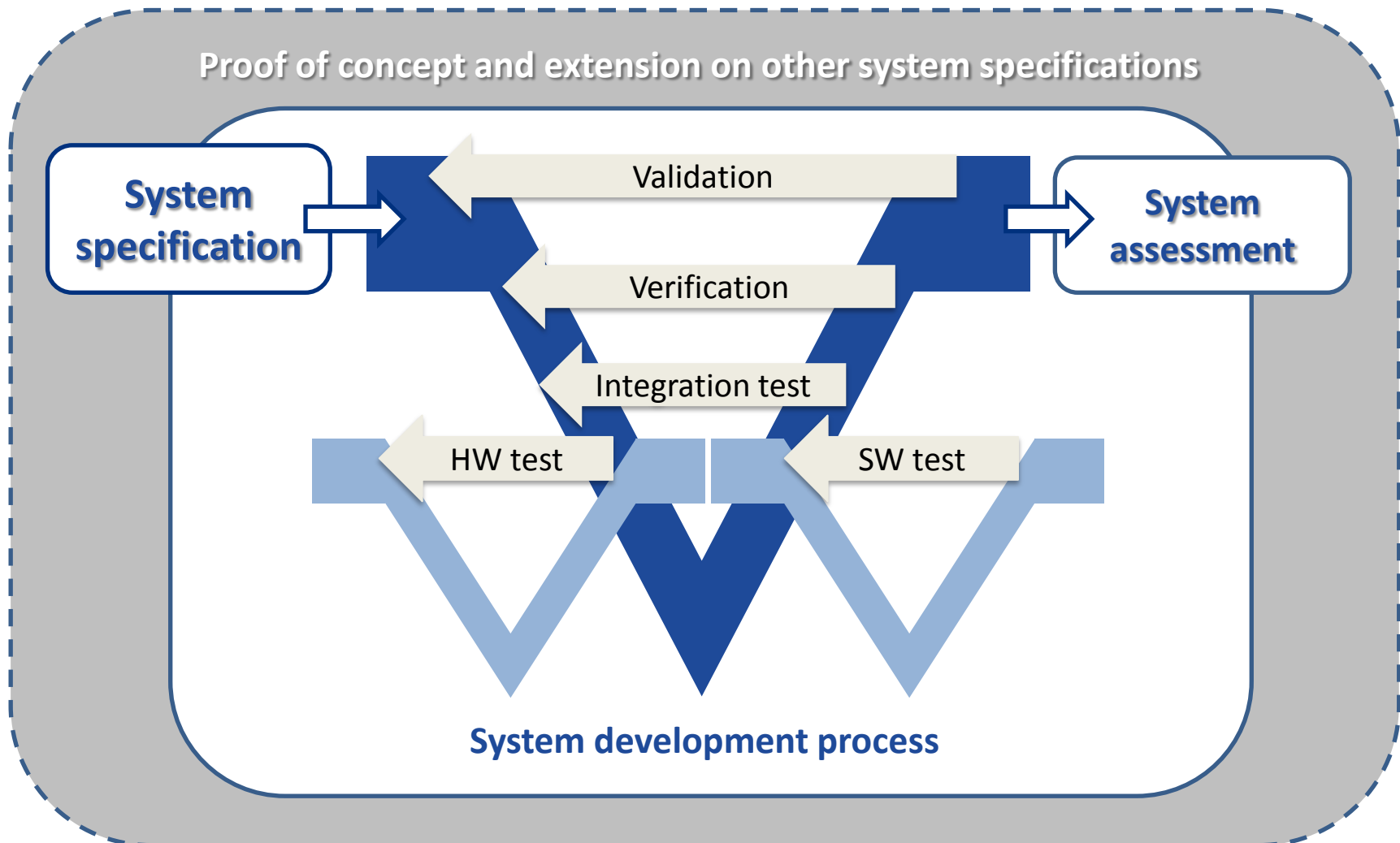
4 Final remarks

- Safety requirements and socially accepted risk criteria (compared to human driver)
- Implementation in the development process
- System assessment
 - Verification
 - Validation



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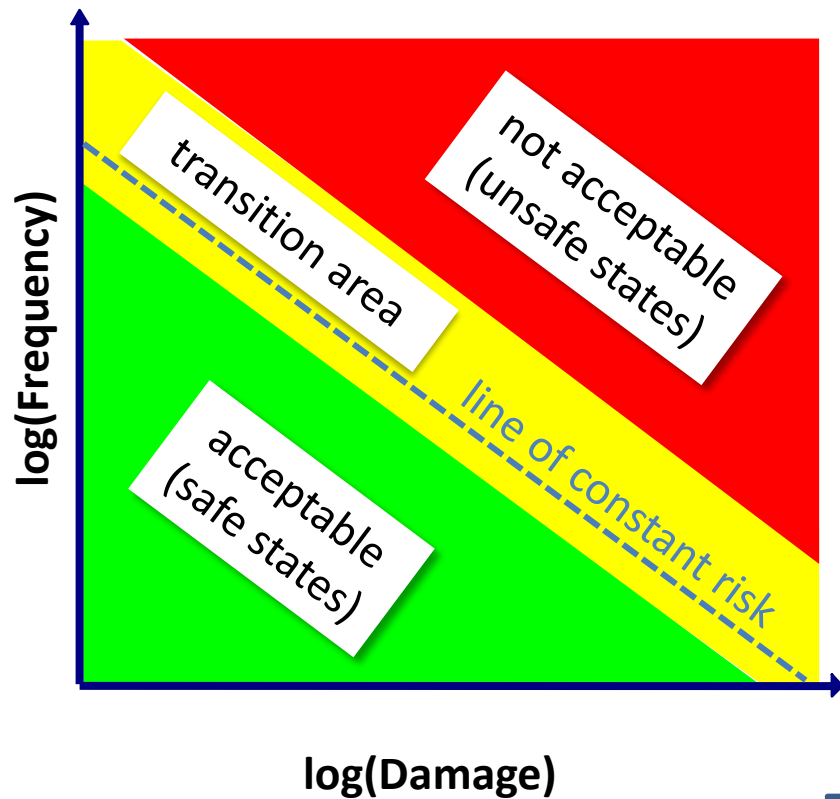
- Proof of concept and extension on other system specifications





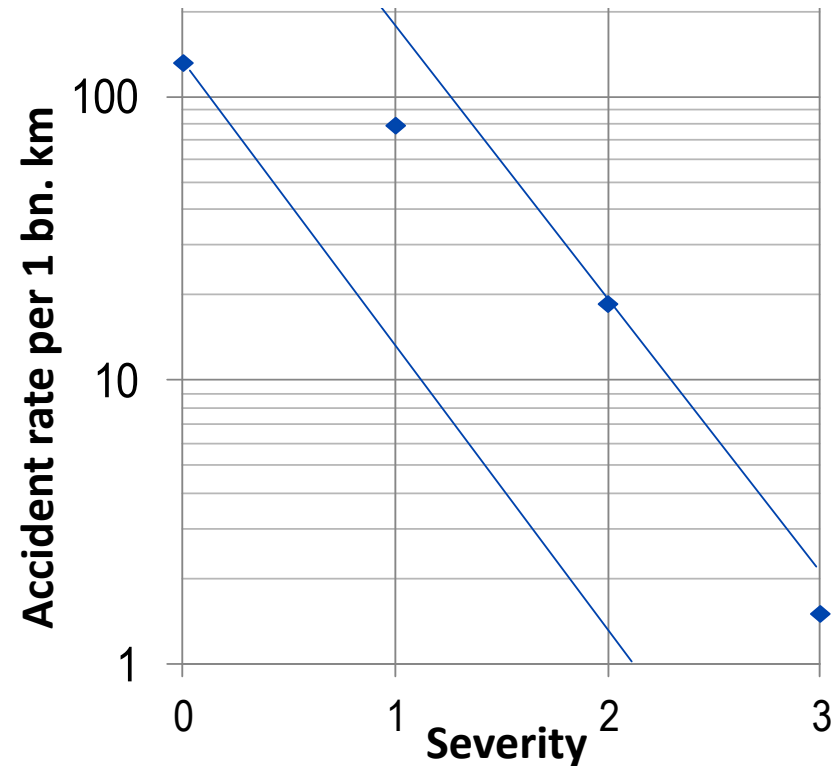
- Identification of relevant / critical scenarios
- Hazard analysis and risk assessment according to ISO 26262
- Resulting safety concept includes requirements to
 - components (e.g. failure rates)
 - systems (e.g. homogenous or diverse system redundancy)
 - item / unit (e.g. fail operational design)

General approach: Risk = Frequency x Damage



Accident statistics on German „Autobahn“

With assumption, that there is 1 order of magnitude between severity levels according to ISO 26262:

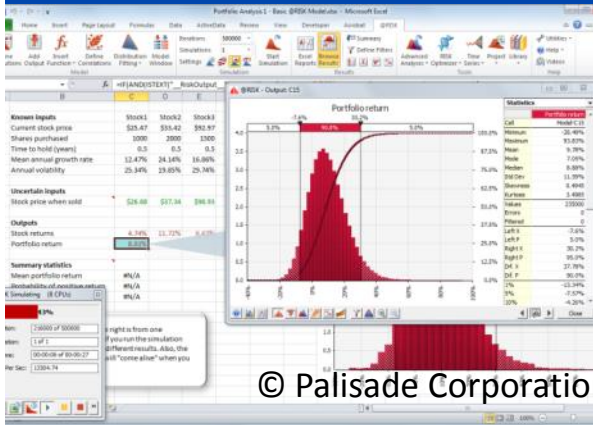


Sources: H.-P. Schöner, CESA 2014, and DESTATIS (German Federal Statistics Agency) 2013

- Testing against scenarios and events (also rare) instead of driving distance or time
 - Considering virtual test (simulation) and real tests (proving ground and field tests)
 - Necessary to cover complete test space (i.e. all relevant scenarios, environments and driver behavior)
- ... because all types of tests have advantages and disadvantages

Characteristics of test levels

Virtual tests



Proving ground tests



Field tests



✓ Analysis of a huge number of scenarios, environments, system configurations and driver characteristics

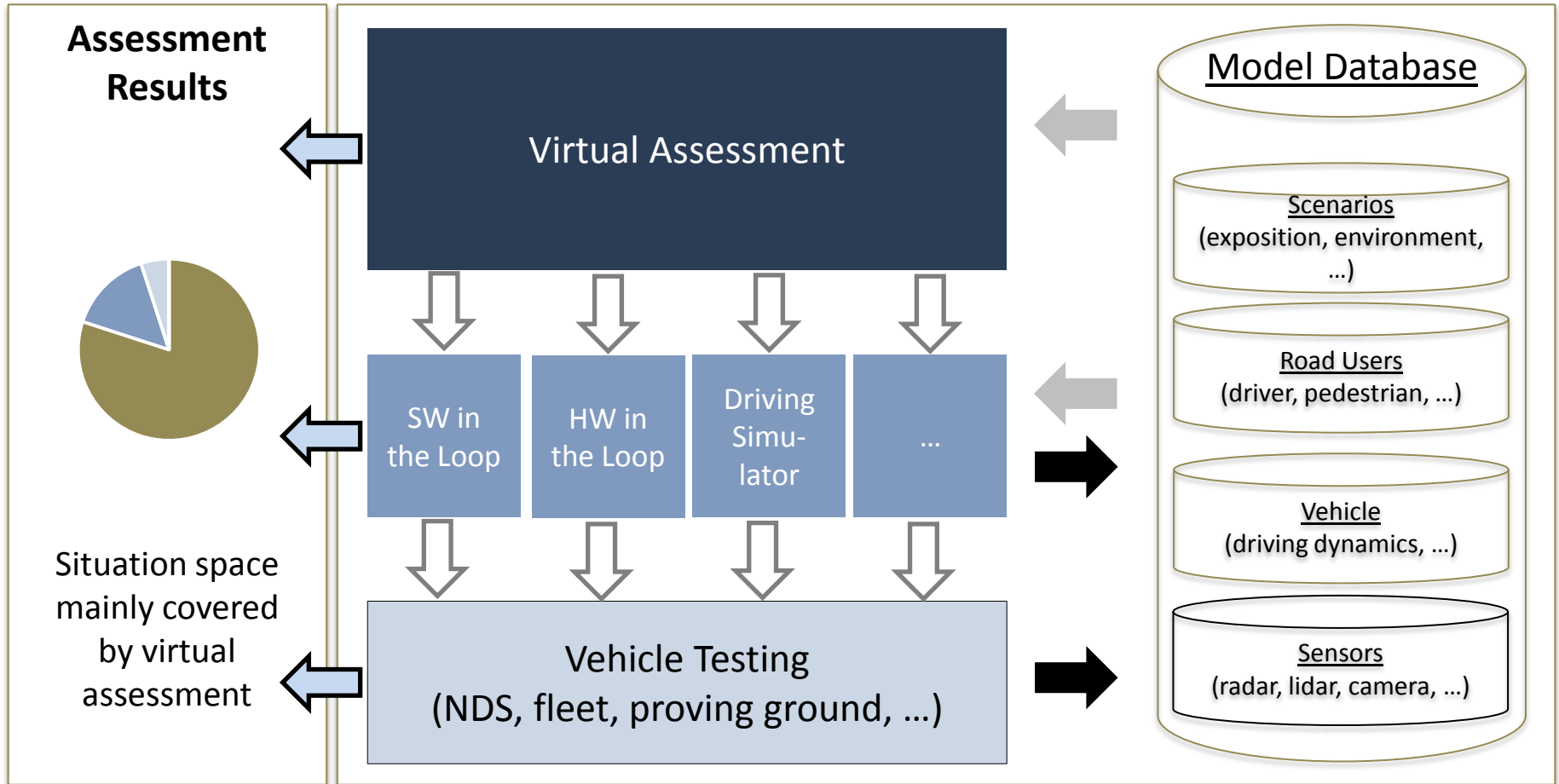
✓ Reproducibility by use of driving robots, self driving cars and targets; critical manoeuvres are possible

✓ Investigation of real driving situations and comparison with system specifications

Effort for coverage of all relevant scenarios & environments

Uncertainties & simplifications

Consolidation of results



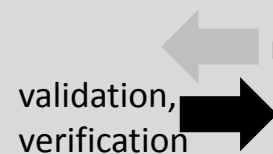
Legend:



results



relevant situations for further investigation



validation, verification

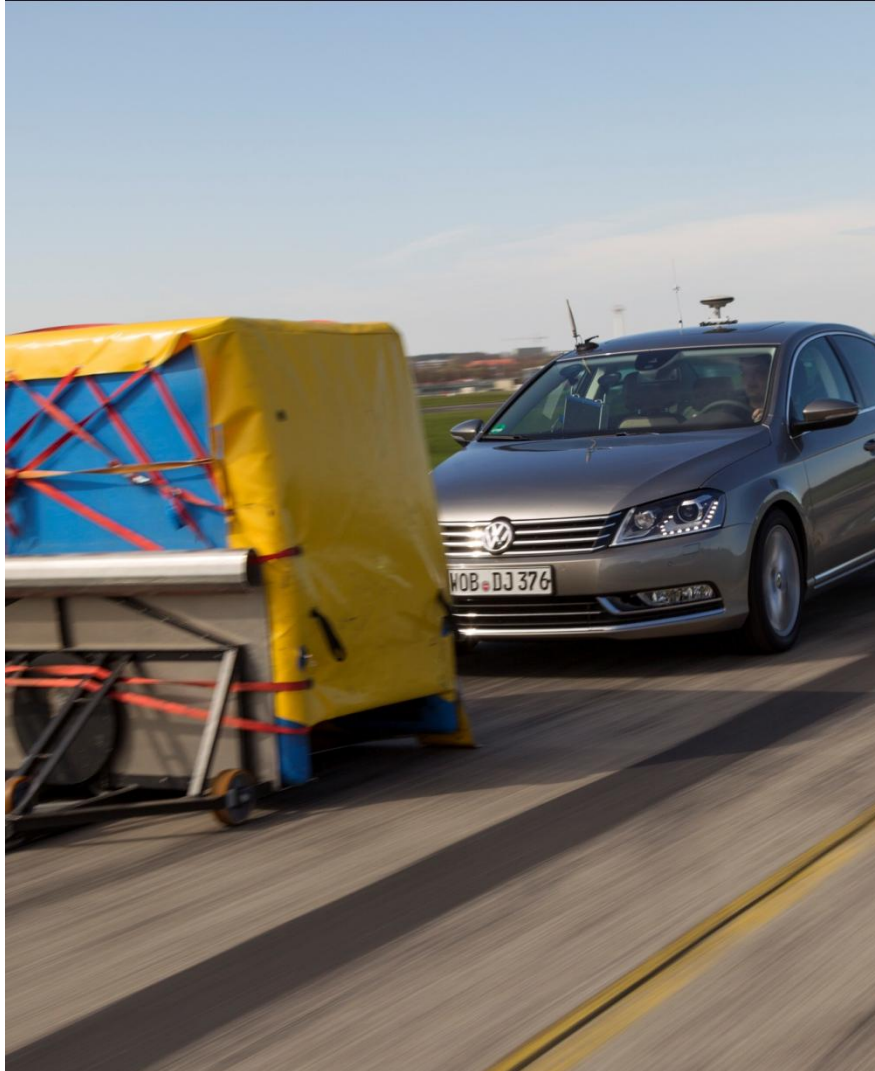


models

■ 10^8 scenarios

■ 10^3 scenarios

□ 10^2 scenarios



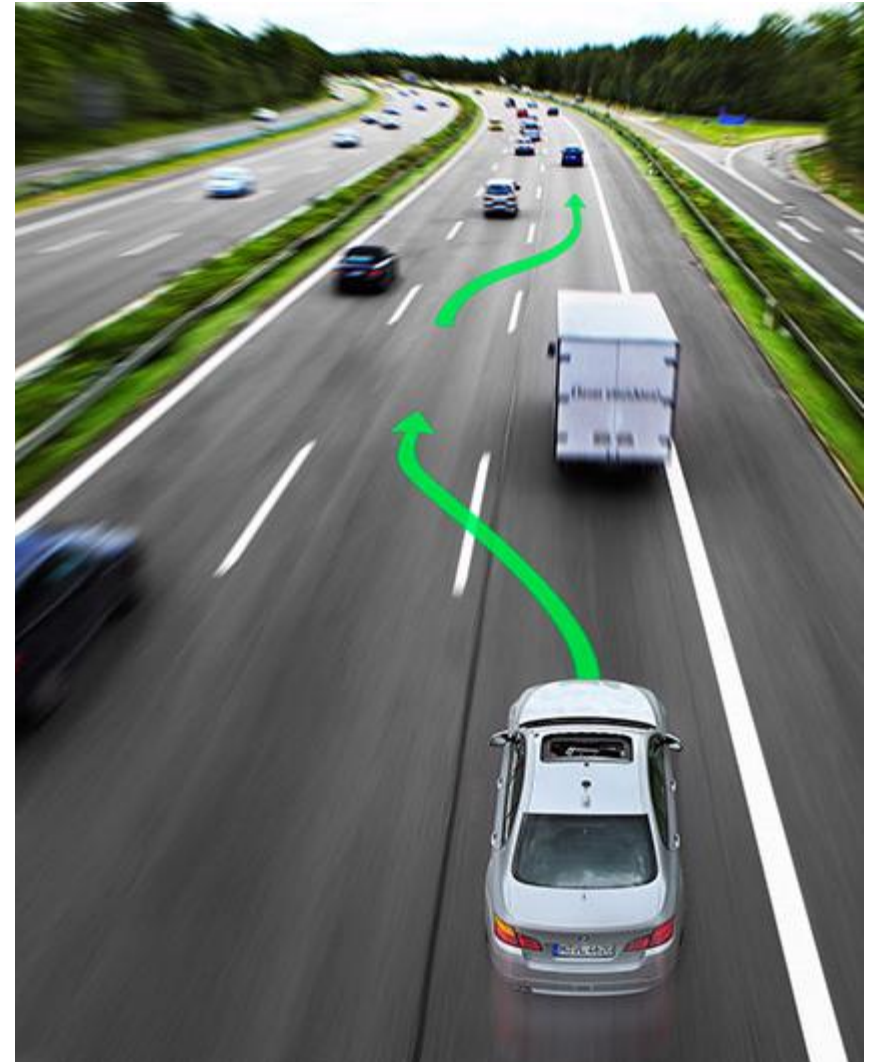
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- General concept & tools for assessment of a highway chauffeur
- Applicable for all interested parties (manufacturers, system developers, scientific institutes, test labs, notified bodies, authorities ...)
- Methodological expansion to other systems (e.g. inter urban or city chauffeurs)





- Achieving a common understanding of national and international players (manufacturers, system developers, scientific institutes, test labs, notified bodies, authorities ...) → e.g. by publications and lobbying
- Participation in national and international legislation and standardisation → e.g. WP.29 by UNECE or FKT Sonderausschuss FAS by BMVI

**“People on horses look better than they are. People in cars look worse than they are.”
(Marya Manns)**

Still right, because horses can already ride autonomously but cars can't yet. It's time to give cars wings with the help of automated driving systems - and to assess those systems with the help of PEGASUS!

